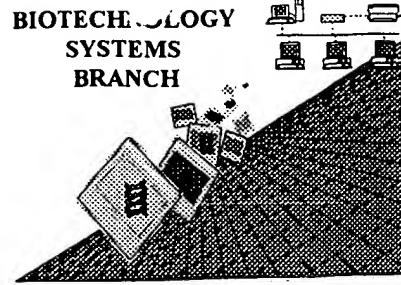


*dsao*

## RAW SEQUENCE LISTING ERROR REPORT



04/00

2/16/01

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/756,301

Source: O1PE

Date Processed by STIC: 1/25/2001

**THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.**

**PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:**

- 1) **INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,**
- 2) **TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY.**

**FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.**

**FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.**

**PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)**

**PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)**

**TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:**

### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

**Checker Version 3.0 can be down loaded from the USPTO website at the following address:**

**<http://www.uspto.gov/web/offices/pac/checker>**

# Raw Sequence Listing Error Summary

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	<u>SERIAL NUMBER:</u> <u>09/756,301</u>
ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE		
1 <input type="checkbox"/> Wrapped Nucleics	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3, as this will prevent "wrapping".	
2 <input type="checkbox"/> Wrapped Aminos	The amino acid number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3, as this will prevent "wrapping".	
3 <input type="checkbox"/> Incorrect Line Length	The rules require that a line not exceed 72 characters in length. This includes spaces.	
4 <input type="checkbox"/> Misaligned Amino Acid Numbering	The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.	
5 <input type="checkbox"/> Non-ASCII	This file was not saved in ASCII (DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text so that it can be processed.	
6 <input type="checkbox"/> Variable Length	Sequence(s) <input type="checkbox"/> contain n's or Xaa's which represented more than one residue. As per the rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.	
7 <input type="checkbox"/> PatentIn ver. 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) <input type="checkbox"/> . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies primarily to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
8 <input type="checkbox"/> Skipped Sequences (OLD RULES)	Sequence(s) <input type="checkbox"/> missing. If intentional, please use the following format for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS") (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: This sequence is intentionally skipped  Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).	
9 <input type="checkbox"/> Skipped Sequences (NEW RULES)	Sequence(s) <input type="checkbox"/> missing. If intentional, please use the following format for each skipped sequence: <210> sequence id number <400> sequence id number 000	
10 <input type="checkbox"/> Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Use of <220> to <223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
11 <input type="checkbox"/> Use of <213>Organism (NEW RULES)	Sequence(s) <input type="checkbox"/> are missing this mandatory field or its response.	
12 <input type="checkbox"/> Use of <220>Feature (NEW RULES)	Sequence(s) <input type="checkbox"/> are missing the <220>Feature and associated headings. Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown" Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)	
13 <input type="checkbox"/> PatentIn ver. 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other means to copy file to floppy disk.	

OIPE

RAW SEQUENCE LISTING  
 PATENT APPLICATION: US/09/756,301

DATE: 01/25/2001  
 TIME: 10:37:58

Input Set : A:\0975.1005-008SEQLIST.txt  
 Output Set: N:\CRF3\01252001\1756301.raw

Does Not Comply  
 Corrected Diskette Needed

4 <110> APPLICANT: Junming Le  
 5 Jan Vilcek  
 6 Peter Daddona  
 7 John Ghayeb  
 8 David M. Knight  
 9 Scott Siegel  
 11 <120> TITLE OF INVENTION: Anti-TNF Antibodies and Peptides of  
 12 Human Tumor Necrosis Factor  
 15 <130> FILE REFERENCE: 0975.1005-008  
 CK> 17 <140> CURRENT APPLICATION NUMBER: US/09/756,301  
 CK-> 17 <141> CURRENT FILING DATE: 2001-01-08  
 17 <150> PRIOR APPLICATION NUMBER: U.S. 09/133,119  
 18 <151> PRIOR FILING DATE: 1998-08-12  
 20 <150> PRIOR APPLICATION NUMBER: U.S. 08/570,674  
 21 <151> PRIOR FILING DATE: 1995-12-11  
 23 <150> PRIOR APPLICATION NUMBER: U.S. 08/324,799  
 24 <151> PRIOR FILING DATE: 1994-10-18  
 26 <150> PRIOR APPLICATION NUMBER: U.S. 08/192,102  
 27 <151> PRIOR FILING DATE: 1994-02-04  
 29 <150> PRIOR APPLICATION NUMBER: U.S. 08/192,861  
 30 <151> PRIOR FILING DATE: 1994-02-04  
 32 <150> PRIOR APPLICATION NUMBER: U.S. 08/192,093  
 33 <151> PRIOR FILING DATE: 1994-02-04  
 35 <150> PRIOR APPLICATION NUMBER: U.S. 08/010,406  
 36 <151> PRIOR FILING DATE: 1993-01-29  
 38 <150> PRIOR APPLICATION NUMBER: U.S. 08/013,413  
 39 <151> PRIOR FILING DATE: 1993-02-02  
 41 <150> PRIOR APPLICATION NUMBER: U.S. 07/943,852  
 42 <151> PRIOR FILING DATE: 1992-09-11  
 44 <150> PRIOR APPLICATION NUMBER: U.S. 07/853,606  
 45 <151> PRIOR FILING DATE: 1992-03-18  
 47 <150> PRIOR APPLICATION NUMBER: U.S. 07/670,827  
 48 <151> PRIOR FILING DATE: 1991-03-18  
 50 <160> NUMBER OF SEQ ID NOS: 19  
 52 <170> SOFTWARE: FastSEQ for Windows Version 4.0  
 54 <210> SEQ ID NO: 1  
 55 <211> LENGTH: 157  
 56 <212> TYPE: PRP  
 57 <213> ORGANISM: Peptide *invalid response* *valid responses for <213> are: Unknown, Artificial Sequence, or Scientific name (genus/species)*  
 59 <400> SEQUENCE: 1  
 60 Val Arg Ser Ser Ser Arg Thr Pro Ser Asp Lys Pro Val Ala His Val  
 61 1 5 10 15  
 62 Val Ala Asn Pro Gln Ala Glu Gly Gln Leu Gln Trp Leu Asn Arg Arg  
 63 20 25 30  
 64 Ala Asn Ala Leu Leu Ala Asn Gly Val Glu Leu Arg Asp Asn Gln Leu  
 65 35 40 45  
 66 Val Val Pro Ser Glu Gly Leu Tyr Ser Gln Val Leu Phe

PL 1/25  
 pp 1/5

*Suggestion: Consult new  
 Sequence Rules*

*Per 1.823 of new Sequence Rules, the only  
 valid responses for <213> are: Unknown,  
 Artificial Sequence, or  
 Scientific name (genus/species)  
 (see circled portion of  
 item 12 on Env Sheet)*

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/756,301

DATE: 01/25/2001  
TIME: 10:37:58

Input Set : A:\0975.1005-008SEQLIST.txt  
Output Set: N:\CRF3\01252001\I756301.raw

67 50 55 60  
68 Lys Gly Cln Gln Cys Pro Ser Thr His Val Leu Leu Thr His Thr Ile  
69 65 70 75 80  
70 Ser Arg Ile Ala Val Ser Tyr Gln Thr Lys Val Asn Leu Leu Ser Ala  
71 85 90 95  
72 Ile Lys Ser Pro Cys Gln Arg Glu Thr Pro Glu Gly Ala Glu Ala Lys  
73 100 105 110  
74 Pro Trp Tyr Glu Pro Ile Tyr Leu Gly Gly Val Phe Gln Leu Glu Lys  
75 115 120 125  
76 Gly Asp Arg Leu Ser Ala Glu Ile Asn Arg Pro Asp Tyr Leu Asp Phe  
77 130 135 140  
78 Ala Glu Ser Gly Gln Val Tyr Phe Gly Ile Ile Ala Leu  
79 145 150 155  
82 <210> SEQ ID NO: 2  
83 <211> LENGTH: 321  
84 <212> TYPE: DNA  
85 <213> ORGANISM: CDNA  
87 <220> FEATURE:  
88 <221> NAME/KEY: CDS  
W--> 89 <222> LOCATION: (0)...(321)  
91 <400> SEQUENCE: 2  
92 gac atc ttg ctg act cag tct cca gcc atc ctg tct gtg agt cca gga 48  
93 Asp Ile Leu Leu Thr Gln Ser Pro Ala Ile Leu Ser Val Ser Pro Gly  
94 1 5 10 15  
96 gaa aga gtc agt ttc tcc tgc aqg gcc agt cag ttc qtt ggc tca agc 96  
97 Glu Arg Val Ser Phe Ser Cys Arg Ala Ser Gln Phe Val Gly Ser Ser  
98 20 25 30  
100 atc cac tgg tat cag caa aga aca aat ggt tct cca agg ctt ctc ata 144  
101 Ile His Trp Tyr Gln Gln Arg Thr Asn Gly Ser Pro Arg Leu Leu Ile  
102 35 40 45  
104 aag tat gct gag tct atg tct ggg atc cct tcc agg ttt agt ggc 192  
105 Lys Tyr Ala Ser Glu Ser Met Ser Gly Ile Pro Ser Arg Phe Ser Gly  
106 50 55 60  
108 agt gga tca ggg aca gat ttt act ctt agc atc aac act gtg gag tct 240  
109 Ser Gly Ser Gly Thr Asp Phe Thr Leu Ser Ile Asn Thr Val Glu Ser  
110 65 70 75 80  
112 gaa gat att gca gat tat tac tgt caa caa agt cat agc tgg cca ttc 288  
113 Glu Asp Ile Ala Asp Tyr Tyr Cys Gln Gln Ser His Ser Trp Pro Phe  
114 85 90 95  
116 acg ttc ggc tcg ggg aca aat ttg gaa gta aaa 321  
117 Thr Phe Gly Ser Gly Thr Asn Leu Glu Val Lys  
118 100 105  
121 <210> SEQ ID NO: 3  
122 <211> LENGTH: 107  
123 <212> TYPE: PRT  
124 <213> ORGANISM: Protein  
126 <400> SEQUENCE: 3  
127 Asp Ile Leu Leu Thr Gln Ser Pro Ala Ile Leu Ser Val Ser Pro Gly  
128 1 5 10 15

→ see previous page regarding valid 12137 response  
(1) - first base begins at location 1

same error as above

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/756,301

DATE: 01/25/2001  
TIME: 10:37:58

Input Set : A:\0975.1005-008SEQLIST.txt  
Output Set: N:\CRF3\01252001\I756301.raw

129 Glu Arg Val Ser Phe Ser Cys Arg Ala Ser Gln Phe Val Gly Ser Ser  
130 20 25 30  
131 Ile His Trp Tyr Gln Gln Arg Thr Asn Gly Ser Pro Arg Leu Leu Ile  
132 35 40 45  
133 Lys Tyr Ala Ser Glu Ser Met Ser Gly Ile Pro Ser Arg Phe Ser Gly  
134 50 55 60  
135 Ser Gly Ser Gly Thr Asp Phe Thr Leu Ser Ile Asn Thr Val Glu Ser  
136 65 70 75 80  
137 Glu Asp Ile Ala Asp Tyr Tyr Cys Gln Gln Ser His Ser Trp Pro Phe  
138 85 90 95  
139 Thr Phe Gly Ser Gly Thr Asn Leu Glu Val Lys  
140 100 105  
143 <210> SEQ ID NO: 4  
144 <211> LENGTH: 357  
145 <212> TYPE: DNA  
146 <213> ORGANISM CDNA  
148 <220> FEATURE: (1)  
149 <221> NAME/KEY: CDS  
W--> 150 <222> LOCATION: (0)..(357)  
152 <400> SEQUENCE:  
153 gaa gtg aag ctt gag gag tct gga gga ggc ttg gtg caa cct gga gga 48  
154 Glu Val Lys Leu Glu Glu Ser Gly Gly Gly Leu Val Gln Pro Gly Gly  
155 1 5 10 15  
157 tcc atg aaa ctc tcc tgt gtt gcc tct gga ttc att ttc agt aac cac 96  
158 Ser Met Lys Leu Ser Cys Val Ala Ser Gly Phe Ile Phe Ser Asn His  
159 20 25 30  
161 tgg atg aac tgg gtc cgc cag tct cca gag aag ggg ctt gag tgg gtt 144  
162 Trp Met Asn Trp Val Arg Gln Ser Pro Glu Lys Gly Leu Glu Trp Val  
163 35 40 45  
165 gct gaa att aga tca aaa tct att aat tct gca aca cat tat gcg gag 192  
166 Ala Glu Ile Arg Ser Lys Ser Ile Asn Ser Ala Thr His Tyr Ala Glu  
167 50 55 60  
169 tct gtg aaa ggg agg ttc acc atc tca aga gat gat tcc aaa agt gct 240  
170 Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asp Ser Lys Ser Ala  
171 65 70 75 80  
173 gtc tac ctg caa atg acc gac tta aga act gaa gac act ggc gtt tat 288  
174 Val Tyr Leu Gln Met Thr Asp Leu Arg Thr Glu Asp Thr Gly Val Tyr  
175 85 90 95  
177 tac tgt tcc agg aat tac tac ggt agt acc tac gac tac tgg ggc caa 336  
178 Tyr Cys Ser Arg Asn Tyr Tyr Gly Ser Thr Tyr Asp Tyr Trp Gly Gin  
179 100 105 110  
181 ggc acc act ctc aca gtc tcc 357  
182 Gly Thr Thr Leu Thr Val Ser  
183 115  
186 <210> SEQ ID NO: 5  
187 <211> LENGTH: 119  
188 <212> TYPE: PRT  
189 <213> ORGANISM Protein  
191 <400> SEQUENCE: 5

RAW SEQUENCE LISTING  
 PATENT APPLICATION: US/09/756,301

DATE: 01/25/2001  
 TIME: 10:37:58

Input Set : A:\0975.1005-008SEQLIST.txt  
 Output Set: N:\CRF3\01252001\I756301.raw

192 Glu Val Lys Leu Glu Ser Gly Gly Leu Val Gln Pro Gly Gly  
 193 1 5 10 15  
 194 Ser Met Lys Leu Ser Cys Val Ala Ser Gly Phe Ile Phe Ser Asn His  
 195 20 25 30  
 196 Trp Met Asn Trp Val Arg Gln Ser Pro Glu Lys Gly Leu Glu Trp Val  
 197 35 40 45  
 198 Ala Glu Ile Arg Ser Lys Ser Ile Asn Ser Ala Thr His Tyr Ala Glu  
 199 50 55 60  
 200 Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asp Ser Lys Ser Ala  
 201 65 70 75 80  
 202 Val Tyr Leu Gln Met Thr Asp Leu Arg Thr Glu Asp Thr Gly Val Tyr  
 203 85 90 95  
 204 Tyr Cys Ser Arg Asn Tyr Tyr Gly Ser Thr Tyr Asp Tyr Trp Gly Gln  
 205 100 105 110  
 206 Gly Thr Thr Leu Thr Val Ser  
 207 115  
 210 <210> SEQ ID NO: 6  
 211 <211> LENGTH: 8  
 212 <212> TYPE: PRT  
 213 <213> ORGANISM: Protein  
 215 <400> SEQUENCE: 6  
 216 Gly Thr Leu Val Thr Val Ser Ser  
 217 1 5  
 220 <210> SEQ ID NO: 7  
 221 <211> LENGTH: 7  
 222 <212> TYPE: PRT  
 223 <213> ORGANISM: Protein  
 225 <400> SEQUENCE: 7  
 226 Gly Thr Lys Leu Glu Ile Lys  
 227 1 5  
 230 <210> SEQ ID NO: 8  
 231 <211> LENGTH: 20  
 232 <212> TYPE: DNA  
 233 <213> ORGANISM: cDNA  
 235 <400> SEQUENCE: 8  
 236 cctggatacc tgtgaaaaaga 20  
 238 <210> SEQ ID NO: 9  
 239 <211> LENGTH: 27  
 240 <212> TYPE: DNA  
 241 <213> ORGANISM: cDNA  
 243 <400> SEQUENCE: 9  
 244 cctggatacc tagtcacccgt ctccctca 27  
 246 <210> SEQ ID NO: 10  
 247 <211> LENGTH: 27  
 248 <212> TYPE: DNA  
 249 <213> ORGANISM: cDNA  
 251 <400> SEQUENCE: 10  
 252 aatagatatac tccttcaaca cctgcaa 27  
 254 <210> SEQ ID NO: 11

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/756,301

DATE: 01/25/2001  
TIME: 10:37:58

Input Set : A:\0975.1005-008SEQLIST.txt  
Output Set: N:\CRF3\01252001\I756301.raw

```

255 <211> LENGTH: 21
256 <212> TYPE: DNA
257 <213> ORGANISM: CDNA
259 <400> SEQUENCE: 11
260 atcgggacaa agttggaaat a 21
262 <210> SEQ ID NO: 12
263 <211> LENGTH: 16
264 <212> TYPE: DNA
265 <213> ORGANISM: CDNA
267 <400> SEQUENCE: 12
268 ggcggtctgg taccgg 16
270 <210> SEQ ID NO: 13
271 <211> LENGTH: 19
272 <212> TYPE: DNA
273 <213> ORGANISM: CDNA
275 <400> SEQUENCE: 13
276 gtcaacaaca tagtcatca 19
278 <210> SEQ ID NO: 14
279 <211> LENGTH: 23
280 <212> TYPE: DNA
281 <213> ORGANISM: CDNA
283 <400> SEQUENCE: 14
284 cacaagggttg tccccaagga aaa 23
286 <210> SEQ ID NO: 15
287 <211> LENGTH: 18
288 <212> TYPE: DNA
289 <213> ORGANISM: CDNA
291 <400> SEQUENCE: 15
292 aatctgggggt aggcacaa 18
294 <210> SEQ ID NO: 16
295 <211> LENGTH: 17
296 <212> TYPE: DNA
297 <213> ORGANISM: CDNA
299 <400> SEQUENCE: 16
300 aytgtgtgtcc cccaaag 17
302 <210> SEQ ID NO: 17
303 <211> LENGTH: 24
304 <212> TYPE: DNA
305 <213> ORGANISM: CDNA
307 <400> SEQUENCE: 17
308 cacagctgcc cgccccagggtg gcat 24
310 <210> SEQ ID NO: 18
311 <211> LENGTH: 17
312 <212> TYPE: DNA
313 <213> ORGANISM: CDNA
315 <400> SEQUENCE: 18
316 gtcgccagggtg ctccctt 17
318 <210> SEQ ID NO: 19
319 <211> LENGTH: 20

```

*Please correct these  
errors in seq. 19, nov.*

VERIFICATION SUMMARY  
PATENT APPLICATION: US/09/756,301 DATE: 01/25/2001  
TIME: 10:37:59

Input Set : A:\0975.1005-008SEQLIST.txt  
Output Set: N:\CRF3\01252001\I756301.raw

L:17 M:270 C: Current Application Number differs, Replaced Current Application No  
L:17 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:89 M:351 W: Sequence data Name/Key Feature Out-of-Range, SEQ ID#:2, CDS LOCATION: (0)...(321)  
L:150 M:351 W: Sequence data Name/Key Feature Out-of-Range, SEQ ID#:4, CDS LOCATION: (0)...(357)